

ISOLATION OF HUMAN UMBILICAL CORD MESENCHYMAL STEM/STROMAL CELLS FROM A STEM CELL BANK PERSPECTIVE: AN INTEGRATED OVERVIEW

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ABSTRACT

The discussion around stem cell therapies has been arousing the attention of scientific and medical community. In the particular case of mesenchymal stem/stromal cells their immunomodulatory properties as well as multilineage differentiation ability might be important to target conditions such as graft-versus-host disease, Chron's disease, bone and cartilage regeneration and even myocardial regeneration. Recognizing that potential, stem cells banks from all over the world are storing MSC from umbilical cord which has several advantages over other sources of MSC namely adult ones such as bone marrow or adipose tissue. The aim of this review is to focus the MSC isolation processes from UCM from a stem cell bank perspective. Since the isolation is only the first step of a cell therapy, this review also offers an integrated perspective focusing also expansion, quality control, clinical trials and actual legal framework around MSC isolation in stem cell bank's context.

Keywords: Mesenchymal stem/stromal cells, umbilical cord matrix, regenerative medicine.

INTRODUCTION

During the last decades stem cells have been arousing the scientific community interest worldwide (Figure 1). The rationale behind this intensive study is related with their impressive abilities of prolonged self-renewal, maintaining unimpaired their wide differentiation potential^{1,2}. Mesenchymal stem cells (MSC) are a particular subset of multipotent stem cells that were isolated for the first time from bone marrow (BM)³. Additionally to BM, MSC can be isolated from other adult sources such as adipose tissue (AT)^{4, 5} or dental pulp (DP)⁶⁻⁸. Immunomodulatory properties and trophic activity⁹⁻¹³ as well as multilineage differentiation ability¹⁴ have been the most explored features of MSC. These characteristics make them an attractive tool for tissue engineering and regenerative medicine applications^{15, 16}. Genetic disorders have also been studied using MSC¹⁷. Due

to their potential MSC are currently under evaluation for several types of disorders including liver cirrhosis, graft versus of host disease (GvHD), bone and cartilage repair, liver among others (clinical trials section). In order to standardize the MSC phenotype around the world, the International Society for Cellular Therapy (ISCT)¹⁸ proposed a group of criteria to define MSC. Additionally to plastic adherence properties, the cells have also to show a defined subset of surface markers (Table 1) as well as multilineage differentiation ability into osteoblasts, chondrocytes and adipocytes. Since the quantity of MSC in human body decreases exponentially with age¹² alternative sources have been studied to replace adult ones. Thus neo-natal sources of MSC such as umbilical cord matrix (UCM)^{19, 20}, umbilical cord blood (UCB)^{21, 22}, placenta^{23, 24} and even amniotic fluid^{25, 26} can be seen as possible alternatives. The main advantages of MSC

isolation from neo natal sources include the non-invasive collection procedure, high isolation success rates, and higher proliferation ability when compared with MSC extracted from adult sources^{27,28}. Additionally to these differences, since neo-natal MSC are collected after child birth, aging phenomena²⁹, and diseases accumulation are much less expected than if adult sources are chosen³⁰. Erstwhile seen as a medical waste, umbilical cord (UC) has been stored by many stem cells banks around the world since it contains both hematopoietic stem cells (HSC) present in blood³¹ and MSC in UCM^{19, 20}. Umbilical cord, that during foetal development is responsible for blood maintenance between the mother and fetus, is mainly composed by collagen³². Some of the physical characteristics of UC^{33, 34} are summarized in Table 2. UCM-MSCare being explored by stem cell banks that are recognizing their wide potential in clinical field. Towards clinical use, after isolation MSC have to be expanded in order to reach the high quantities of MSC required for clinical applications (typically 2 to 5 millions of cells per kg of patient's body weight)^{35, 36}. The role of isolation processes is extremely important since if they were efficient they are responsible for maximizing the cell yield starting with an unrefined source maintaining also the functional identity of the isolated cells. Thus the present review aims to identify the current methods of MSC isolation from UCM as well as to identify their critical steps. Several stem cell banks can benefit from this review, since most of them adopt isolation processes followed by cryopreservation as core business. An integrated perspective with expansion, quality control, clinical applications is also provided remembering that isolation process is the beginning step of every stem cell therapy. Since in the next years it is expected an increase in the advanced therapy medicinal products (ATMP) based on MSC, this review also provides an overview since isolation until clinical applications.

ISOLATION OF MSC FROM UCM

When the goal is to isolate MSC from UCM two different strategies can be adopted: explants method *versus* digestion method (Figure 2). The explants isolation method is based on the adherence and migration properties of MSC. This approach^{20, 33, 37-47} is not exclusive of UCM (nor MSC) being used also to isolate cells from other types of tissues^{48, 49}. In a research lab context, this isolation method starts with UC mincing in several pieces (called explants) that are then plated in culture dishes and covered by medium. After

waiting several days it is possible to collect the MSC which migrated from the explants towards surface's culture dish. On the other hand, digestion method^{19, 27, 33, 39-42, 47, 50-67} involves the degradation of the collagen matrix and microfibrils of UC³². After enzymatic digestion, the resultant suspension is submitted to several separation steps such as filtrations, centrifugations and washing steps in order to concentrate the isolated cells in a small volume. It is possible to assert that even before isolation these two methods share some unit operations, such as washing steps. Right after childbirth, the UC is collected and after arriving to the lab is usually immersed within a stable solution carrying antibiotic solutions such as penicillin-streptomycin (pen-strep). The rationale behind this step is to reduce the eventual microbial charge inherent to a birth scenario. After this preparation step the cords are usually dissected and minced. The mincing step is far from consensus within research groups. Most research groups opt to process only the UCM completely free of vessels^{27, 38-42, 50, 51, 55, 57-60, 64, 65, 67}. Other researchers opt to include also the umbilical cord vein (UCV) as well claiming that it also contains MSC⁶⁸⁻⁷⁰. In an attempt to maximize the cell yield during the isolation, all cord processing approaches can be also found in literature^{52, 56}. After dissection step the cord is minced in small explants which vary from 2-3 mm⁴¹ up to 4-5 cm²⁷. After these common steps the isolation methods are carried out independently. In the digestion method the minced cord is then digested using enzymatic solutions such as collagenase^{27, 33, 39, 47, 51, 59, 64, 65}, trypsin^{40, 46}, or even combinations of several enzymes^{19, 33, 37, 39-42, 46, 50, 52-54, 56, 58, 60-63, 66} (the most frequent combinations involves collagenase, trypsin and/or hyaluronidase). Regarding enzymatic solutions used despite in low frequency, dispase³³ is also used to digested the UCM. It is important to highlight here that each enzyme has its own way to break the collagen matrix of UCM. Thus, enzymatic solutions as well as incubation times should be carefully chosen in order to avoid cell death. In fact, enzymatic digestion is one of the most critical steps regarding process global cell yield since excessive and prolonged digestion might lead to cell's death^{39, 71}. Centrifugations and washing steps typically performed after digestion are also associated with cell loss (Figure 2). In digestion method, after enzymatic action the cells need to be concentrated in a smaller volume which is performed using centrifugation, filtration and also

washing steps. Regarding stem cell banks, after processing the isolated cells are cryopreserved. Back to explants method, after the mincing step the small UC fragments are usually transferred to stable solutions such as autologous plasma³⁸ or human albumin⁴¹. After this short processing the explants can be used for MSC isolation using adherence steps or cryopreserved, as in stem cell bank context. It is important to stress out that the obtained product of each method has different properties: in the digestion method a cellular suspension is obtained in contrast with the explants method which is characterized by explants carrying entrapped MSC. Therefore, in order to understand the differences (Table 3) between each isolation method it is also useful to identify the advantages and disadvantages of each method (Table 4). Starting the comparison by the complexity of each process, digestion one is associated with higher complexity levels due to the several steps required. For this reason digestion method is also considered as a more prolonged option than explants method^{37, 43, 55}. This might be seen as a disadvantage from stem cell bank's point of view, where many UC are processed every day. Thus explants method is a faster and technically less difficult alternative to digestion one when the process time and labour intensity are compared^{37, 43, 55}. If the comparison target is the manipulation degree, differences can also be identified. Based on the guidelines established by EMA for ATMP⁷², some steps of MSC isolation process are associated with "substantial" degree of manipulation. Considering the simplified explants and digestion isolation method diagram (Figure 2), it is possible to assert that digestion method have a higher degree of manipulation than explants method. Thus an eventual ATMP based on MSC from UCM having digestion method as initial isolation step, might face additional difficulties of getting approval. As every bioprocess, stem cell isolation requires the establishment of a tight quality control strategy (further developed in quality control section), especially important towards the clinical use of these cells. Remembering that in the end of processing, explants method ends up in a solution carrying UCM fragments and digestion method ends is a single cell suspension, the quality control strategies have some different characteristics. Thus in order to address the quality control parameters often analysed in stem cells banks (e.g. viability, phenotype, stability⁷³) an additional step of adherence

is necessary to access cell's features, if explants method is chosen. This can be seen as a disadvantage associated with extra operational costs (e.g.: reagents, medium exchanges) as well as undesired additional human handling. Since the cells are completely isolated in the end of digestion method it is easier to address cell's features making easier the quality control of digestion method when compared with explants one. When the process cost is compared, digestion method seems to be a more expensive approach than explants method due to the expensive enzymatic solutions and several solutions used. These differences are reflected in the final price of the isolation/cryopreservation services offered by several companies around the world⁷⁴. After isolation, expansion steps have to be carried out in order to reach the high amounts of cells required for clinical applications. Thus expansion strategies are also influenced by the isolation method selected (detailed in expansion section). Usually adherence steps have to be performed in order to promote the MSC migration from UCM. It should be noted that even digestion method having a more prolonged process time, expansion can be performed directly after processing, which is not possible when the explants method is chosen. This suggests that despite of a more prolonged process time associated with digestion method, it is possible to obtain completely isolated MSC in a shorter period of time than whether explants method is used^{42, 55}. All of the discussed process features are summarized in Table 4 (adapted from⁷⁵). Additional to the isolation method characteristics, it is also important to compare the characteristics of the isolated cells using each method. Starting with the isolation rate, no differences were reported regarding explants and digestion isolation method^{19, 37, 57}. Comparing with other neo-natal sources, the isolation of MSC from UCM can be seen as an more effective process than the MSC collection from UCB which is associated with low isolation success rates (ranging from 10 % to 60% under optimized conditions)⁷⁶⁻⁷⁸. This somehow explains why stem cell banks around the world opt to store HSC from blood and MSC exclusively from UCM. Regarding cellular passaging time, literature suggests that the first passage is shorter in digestion method when compared with explants methods^{42, 47} due to the MSC migration step required. Since the high quantities of MSC needed for therapeutic applications often implies an expansion step, explants and digestion-isolated MSC proliferation ability must be compared. In Table 3 it is

summarized the proliferation ability of MSC from UCM, isolated using different protocols. The difference observed in proliferation ability, might reflect not only donor variability but also different culture conditions. Nevertheless no differences regarding proliferation ability were found, when digestion and explants method were compared under the same conditions⁴⁷. This data seems suggest that, in this particular case, the MSC's proliferation ability it is not affected by the isolation method. Another important process outcome representative of the process efficiency is cell yield. In the specific case of cell yield, the published results are pointing in different directions. It was possible to identify cases that showed higher cell yields associated with digestion method^{33, 47}, but other reports indicated the opposite tendency⁵¹. Thus regarding cell yield it is difficult to identify the best method, due to the lack of consensual data. Still regarding cell yield, from a stem cell bank perspective, it is important to evaluate the transport time impact on cell yield. Data from Iftimia-Manderet *al*⁴⁷ suggests that transport time has an crucial impact in cell yield, only when digestion method is considered. On the contrary cell yield associated with explants method did not differ significantly when the transport time increases. This data suggests that explants method might be a more robust and suitable option to perform especially if the UC sample is being processed several hours after their collection time (e.g. delay in transportation).

AN IDENTIFICATION PROBLEM

The lack of an univocal surface marker ony associated with MSC (e.g. such as CD34 for hematopoietic cells) makes more difficult their identification by flow cytometry⁷⁹. Towards MSC identification, a list of criteria has been established. Since that list defined by ISCT¹⁸ is considered too minimalist due to the lack of information about potency, new criteria of identification have been widely discussed^[80]. ISCT's list of criteria¹⁸ include three different features: plastic adherence, surface marker expression and differentiation ability. Currently associated with a "fibroblast-like" morphology, to be considered as MSC the cells must be plastic-adherent. The analysed cells must also express several positive (CD90, CD75, CD105) and negative (CD45, CD34, CD14, CD79 and HLA-DR) surface markers. Differentiation ability into osteoblasts, adipocytes and chondrocytes is also needed towards MSC identity confirmation. An additional problem is related with the set

of surface markers used. Since they are not exclusive for MSC it is difficult to identify them clearly. Towards the MSC identification using flow cytometry several markers have been used. Using some of the MSC from UCM isolation protocols available in literature, it was here identified some of the most used surface markers to target MSC. Starting with positive markers CD73^{20, 33, 37, 38, 40, 42, 45, 46, 50, 54, 58, 59, 62, 63, 65, 66}, CD90^{19, 20, 27, 33, 37, 39-41, 43, 45-47, 52-54, 56, 58, 60, 62-66} and CD105^{19, 20, 33, 37, 38, 40, 41, 45-47, 50, 53, 54, 56, 58-60, 62-66} are some of the most commonly used. Additionally, several research groups also choose to include CD29^{19, 38, 41, 46, 50, 54, 56, 59, 60} and CD44^{19, 20, 33, 38-40, 43, 45, 46, 50, 52-54, 56, 60, 62-65}. The rationale behind of these two last surface markers is related with their function: CD44 is a transmembrane protein that act as receptor for hyaluron⁸¹ which has an important role in cell-matrix interactions^{82, 83} and CD29 is an integrin surface marker^[50]. Remembering heterogeneous cellular composition of UC, negative surface markers must also be included. The isolation protocols analysed revealed that CD14^{19, 38, 46, 54, 60, 65, 66}, CD31^{27, 37, 39, 42, 44, 45, 54, 59, 60, 65, 66}, CD34^{19, 33, 37, 38, 40, 44-47, 50, 52-54, 58-60, 62-66}, CD45^{27, 37-41, 43-47, 50, 52-54, 58-60, 62, 64-66} and HLA-DR^{37, 38, 46, 53, 54, 58, 60, 65, 66} are the most used negative surface markers to target MSC. Typically the hematopoietic cells presence is detected by CD34 and CD45. Considering eventual endothelial cell contaminations, they are usually identified using CD31⁸⁴. Recalling the adherence properties of MSC, it is important to exclude the contamination of other adherent cells, namely macrophages, positive for CD14⁸⁵. Regarding HLA-DR, which is known as an MHC-II cell surface receptor, the main reason for being included in negative surface markers list, is related with the "immunoprivileged" status of MSC. This status is characterized by low levels of MHC-I and negligible levels of MHC-II. Many issues arise from the poor identification of MSC such as potency (the ability to differentiate into several types of cells), and plasticity. Despite of this extensive list of surface markers, the MSC identification is far from consensus throughout scientific community. The lack of criteria to identify exclusively MSC might be associated with unusual plasticity events (the cell's ability of giving rise to cells functionally different from their own origin⁸⁶). In literature there are some examples where MSC were *in vitro* differentiated into neurons, astrocytes, hepatocytes and pancreatic islets-like cells⁸⁷⁻⁹¹. Therefore MSC's plasticity topic is under intense debate over scientific community not only because of the poor criteria to

define MSC but also due to the absence of suitable animal models to use. In order to include potency in MSC definition criteria, Stro-1 and CD106 expression have been associated with donor and/or culture age. If confirmed this hypothesis, this two markers should be included in the surface markers list to address potency⁹². For these reasons many research groups are involved in the development of a consensual list of criteria to identify exclusively MSC including also cell's potency.

INTEGRATION OF PROCESSES

After MSC isolation further steps are needed until the cells are released for clinical administration. To increase the number of the MSC, expansion has to be carried out. In the next section are present some of the current strategies of MSC expansion together with an integrated perspective over the UCM-MS isolation methods.

Expansion

Due to their importance in clinical field, not only as support cells for HSC expansion⁹³ but also for scenarios of graft versus-host disease (GvHD)³⁵, Chron's⁹⁴, cartilage and bone defects⁹⁵ and even myocardic regeneration⁹⁶, there has been a growing interest in MSC expansion. The decrease of MSC's number in human body with aging¹² and the high quantity of cells required for cellular therapies^{97, 98}, increase the need of efficient expansion methods development. If the goal is to expand MSC (independently on the origin source) two main strategies have been applied: (1) static 2-D using monolayer cultures and (2) bioreactors (using microcarriers or spheroids). Based on their intrinsic ability to growth attached to surfaces, MSC expanded using culture dishes is the most used strategy. Alternatively, T-flasks have been also used due to a better surface per unit of volume than culture dishes. Both of these 2D expansion systems have several drawbacks associated. Since static systems do not include mixing devices, the culture medium is highly heterogeneous and may lead to toxic metabolites accumulation. This can be critical remembering that high concentrations of lactate, arising from the degradation of glucose by mammalian cells (and also ammonia from glutamine degradation), leads to a decrease in pH inhibiting MSC growth⁹⁹⁻¹⁰¹. Relatively to the physicochemical parameters that needs to be monitored during MSC expansion (e.g. pH, temperature, osmolarity, dissolved oxygen, hydrodynamic shear stress) static cultures does not include robust systems to

control them. Looking to static cultures in a scale up approach, other drawbacks must also be referred such as excessive human handling required, which is undesired due to the potential risk of contamination and also high operational costs. Due to these limitations static cultures are usually associated with scale-out rather than scale-up approaches. In order to overcome some static cultures limitations, always with large scale expansion as main goal, bioreactors have been used. Despite the wide variety of reactors available, spinner flasks^{102, 103} mimicking stirred tank reactor (STR)¹⁰⁴ have been the main explored strategy. Due to their unique properties and knowledge accumulated with mammalian cell's expansion, these reactors have been used to study MSC expansion using microcarriers and spheroids (3D aggregates). Microcarriers can be defined as small porous spherical beads with diameters ranging from 100 to 400 μm ¹⁰⁵. Microcarriers offer several options regarding their chemical composition (e.g. dextran, polystyrene, cellulose, gelatine and collagen) charge and porosity. One of the main advantages of microcarriers use over 2D systems is their high surface to volume ratio. Another important advantage of microcarriers is that they enable the increase of culture periods through the addition of fresh ones avoiding overload phenomena¹⁰⁶. Besides these advantages, the recovery of cells from microcarriers might be an issue. According to Malda *et al*¹⁰⁵ the recovery efficiency is function of chemical composition as well as degree of porosity. Regarding spheroids, they have been described as three dimensional cell aggregates with diameters up to 1 mm¹⁰⁷. According to Bartosh *et al*¹⁰⁸ the major advantage of MSC expansion using spheroids is the enhancement of their anti-inflammatory properties. In addition to expansion, since spheroids offer a three dimensional interaction between the cells, they have been also used for models of differentiation¹⁰⁹ and cancer development¹⁰⁷. Remembering that *in vivo* the MSC are interacting with other cells, spheroids approach offers a "simulation" of the 3D environment which can be also important for stem cell biology studies. Such importance was demonstrated by Genever¹¹⁰ showing that a higher degree of cell-cell interaction provided by spheroids can also modify gene and protein expression. Despite these advantages, spheroids are not as used as microcarriers due to the mass transference problems between culture medium and spheroid's

core^{102, 109, 111}. Often in larger spheroids, the nutrients exchange between medium and spheroid's core is so difficult that can end up in necrosis¹⁰⁸. Besides stirred tanks other bioreactors (e.g. rotating wall vessels^{112, 113}, parallel plates¹¹⁴, hollow fiber-like¹¹⁵ and rotating bed¹¹⁶ have been also tested to expand MSC (Table 5). Since the intrinsic features and operation mode of each bioreactor are out of the scope of this article it will not be further covered in this review. Integrating the isolation of MSC from UCM and the expansion options it is important to note that for expansion purposes the MSC need to be isolated i.e. completely separated from their tissue/fluid of origin. In the particular case herein focused, after processing by the explants method an adherence step is mandatory. Regarding the digestion isolation method, since the cells are already isolated in a liquid suspension, the adherence step is not mandatory, but sometimes it is also performed. Looking at isolation and expansion in an integrated perspective since the MSC are completely available in the end digestion method, this might be a more advantageous strategy if the timeframe to perform the expansion is tight.

Quality Control and Good Manufacturing Practises

The increasing number of stem cell banks during the last decade throughout world, raised the discussion around the safety of using previously stored cells. In order to be available for medical applications, all the steps since collection passing by processing and storage must follow the Good Manufacturing Practises (GMPs) and a tight scheme of quality control (QC). Together with GMP there is an increase need for developing standard operating protocols (SOPs) that can be reproduced by several laboratories in order to reach the robustness required for clinical applications. The major issue in stem cell banks is related with GMPs and the nature of the reagents used. Robustness and reproducibility are more problematic regarding research labs rather than stem cell banks because the last ones have their own SOP completely established and approved. Concerning the methods used during isolation, the major concern arising must be to evaluate whether the processing steps do not change the intrinsic characteristics of the cells. As present in the Figure 2, several quality control samples must be collected after the isolation procedure with that goal in mind. Especial care must be taken in the unit operations susceptible to change cells intrinsic properties (e.g. prolonged

digestions, cryopreservation etc). Therefore there are a group of criteria that has to be fulfilled to ensure the quality of the cells stored: viability, identify, purity and stability cells⁷³. Regarding viability, usually dye exclusion methods are used (such as trypan-blue). Additionally, it is important to include also other viability markers, for instance early markers of apoptosis (such as annexin V). The viability measurement it is fundamental to evaluate whether the isolation process is harmful to the cells or not. Concerning identity, flow cytometry has been widely used. Although due to the lack of a univocal group of markers (section "An identification problem"), the flow cytometry information must be complemented with other assays. Always with the clinical application goal in mind, purity (defined here as the absence of microbiological contamination) is an important target of quality control. It is important to note that the undesired microbial contamination can occur from different ways such as type of birth delivery, type of collector, time between collection and processing among others¹¹⁷. In order to avoid contamination during the sample's processing all the processes must follow the GMP guidelines which also includes environmental control in every lab station where cells are processed¹¹⁸. The need of microbial control is reinforced since it is now proved that microbes can survive to extremely low temperature of cryopreservation^{119, 120}. In order to test cell's stability, the isolated MSC should be expanded for several passages to confirm whether their characteristics remain unchanged. Another relevant issue in stem cell banks is the use of reagents that can damage the cells or can be potential dangerous for humans. A major concern transversal to the stem cells field is the use of serum. Starting from the ethical concerns arising from the collection method¹²¹ patient's safety is also compromised since serum might carry prions and virus¹²². Patient's safety is not the only drawback of serum use. High cost, high variability between batches are other important disadvantages that hinder serum use in cell therapy applications. Thus scientists should explore alternatives to replace serum role such as, xenofree medium with no need of serum supplementation¹²³ or platelets lysate^{124, 125}. Regarding safety for clinical applications, cryoprotectant agents (CPA) have been also a concern in stem cell banks. Some CPA that play a crucial role in cryopreservation, have also been referred as being potential dangerous to the cells. For instance the

most commonly used, dimethyl sulfoxide (DMSO) is toxic at room temperature^{126, 127}. The cytotoxicity raises other constraints, namely the need of several prolonged and complex steps of washing to remove the DMSO before further steps such as expansion or transplantation. Due to these concerns some research groups are trying to replace DMSO by other CPA such as glycerol, hydroxyethyl starch¹²⁸ or trehalose and sucrose¹²⁹.

Clinical Trials

Demonstrating the clinical potential of MSC-related discoveries during the last years, several clinical trials have been conducted. Regarding the MSC source used in those trials, it was possible to identify that most of them uses BM (Figure 3). Other substantial sources of MSC used in clinical trials are the UC and UCB, legitimating and emphasizing the stem cell banks-related business. Immunomodulatory properties¹³, multiple differentiation ability¹, and homing capacity to damaged or injured areas [130] are the main properties explored in these clinical trials. In accordance with the MSC abilities is the large scope of diseases that are being studied (Figure 4 and Table 6). First it should be highlighted the tremendous variety of conditions studied. Differentiation into cardiac-like cells¹³¹, pancreatic-like cells¹³² is explored in some clinical trials in diseases such as dilated cardiomyopathy and liver cirrhosis. The immunomodulatory properties and paracrine action are other characteristics explored in wound healing^{133, 134} and immune system diseases such as GvH¹³⁵. In these last two cases, the MSC paracrine action plays a key role since they can induce a response in dendritic cells¹³⁶, T-cell¹³⁷, B-cell¹³⁸ and NK cells¹³⁹ through soluble molecules secretion. Since not only paracrine factors secreted by MSC but also cell-cell contact can enhance HSC expansion¹⁴⁰ this has also been explored in clinical trials that aim to expand HSC for transplantation purposes. Regarding blood disorders evaluated, the immunosuppressive potential of MSC seems to be the main explored feature. Another interesting and relevant group of disorders studied using MSC are neurological ones. According to Momimet *al*¹⁴¹ neurological diseases might benefit from migration, immunosuppressive abilities and even differentiation potential. In the same publication it is suggested that MSC can transdifferentiate into neural cells, which might be beneficial for diseases such as Parkinson's, Alzheimer's and also

Amyotrophic Lateral Sclerosis. Although, this MSC transdifferentiation potential it is not accepted through all the scientific community (section "An identification problem"). Showing the growing interest in stem cell therapies business, demonstrating that the knowledge from several studies already crossed the bench-barrier Hearticellgram® AMI from FCB Pharmicell (the world's first approved stem cell product, approved by Korean FDA¹⁴²) to target cardiac muscle repair and Prochymal® from Osiris Therapeutics™ to target GvHD are some of the current available MSC-based products in the market.

Legal Framework

The increase knowledge related with stem cells has been promoting the establishment of several companies with stem cell therapies as core business. In the last few years, scientists, doctors and physicians have been alerting for some dubious and non-ethical clinical practices¹⁴³⁻¹⁴⁵. Nowadays, several companies located in Europe, Asia or America claim to have novel stem cell therapies to target the most variable diseases. Taking advantage of the existing gaps in law, these companies are offering cell therapies not approved by local regulatory agencies, omitting important scientific information to the patient¹⁴⁶. The marketing strategies used to motivate clients for all over the world have been also criticized. These practices raise many ethical and even health questions since some of those therapies were not even evaluated using clinical trials. This suggests that some of these companies are offering "alternative" medicine with no proved therapeutic success with profit as main goal. In order to legislate and regulate the growing market of cell therapies in India, the Indian Council of Medical Research (ICMR) launched in 2012 the Guidelines of Stem Cell Research¹⁴⁷. Apart from this discussion are Indian stem cell banks that have been recognized by their high standards of quality and safety, both by local (ICMR) and international authorities (eg AABB, WHO). Almost of the 10 private stem cell banks in India⁷⁴ invested a lot of effort in standards and accreditation. Some of them opt to try international accreditation from AABB, WHO or Fact for instance. This indicates that, the market of cell banks is widely accredited and certified by internal and external entities that ensures the quality of offered services by Indian companies.

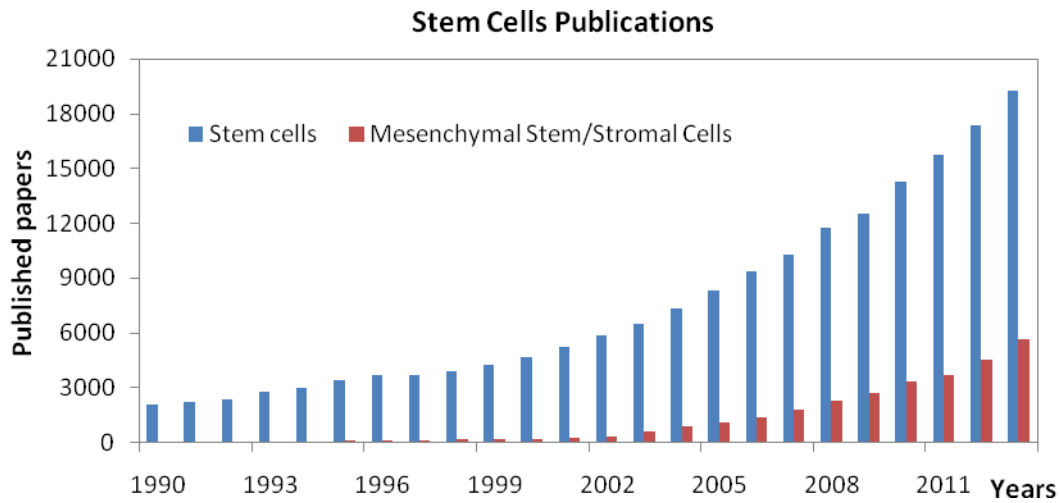


Fig. 1: Number of published articles per year regarding stem cells and mesenchymal stem/stromal cells (blue and red, respectively). Data collected from *Pubmed* database using the words “stem cells”, “mesenchymal stem cells” and “mesenchymal stem/stromal cells”. Data collected during March, 2014.

Table 1: Description of surface markers required to identify MSC established by ISSC¹⁸

Positive	Negative
CD105	CD45
CD90	CD34
CD73	CD14 or CD11b
	CD79 α or CD19
	HLA-DR

Table 2: Summary of some UC physical parameters

Characteristic	Value (units)	References
Length	30 to 60 cm	[33]
Weight	40 to 50 g	[33]
Diameter	14 \pm 1.5 mm	[34]
Surface area	139 \pm 24.6	[34]
Weight/length ratio	1.2 \pm 0.4 g/cm	[51]

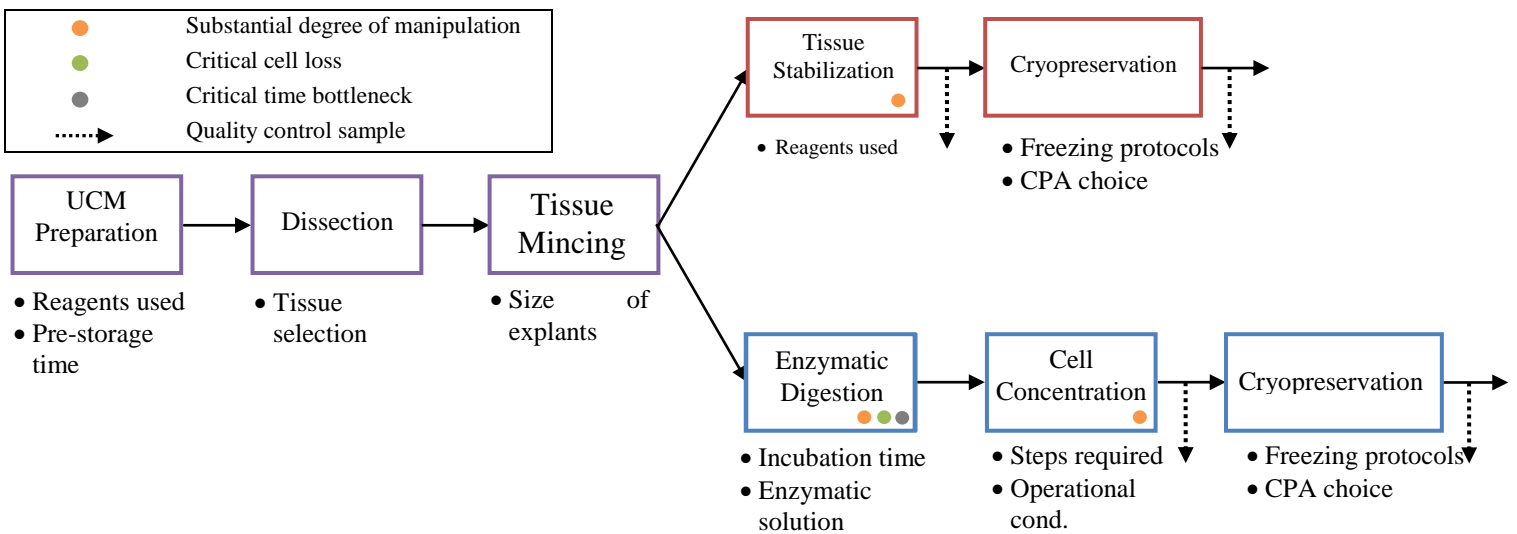


Fig. 2: Schematic representation of generic unit operations considering MSC isolation using explants method (red) or digestion method (blue). Common steps are represented in purple. After cryopreservation, the resultant products are usually transferred to nitrogen tanks and stored around -180 °C. Below each step (box) are identified some of the differences among protocols.

Table 3: Literature review of several protocols of digestion and explants methods of isolation. Type of isolation, enzymes used, differentiation ability, size of the tissue processed, incubation time, cell yield and population doubling time were analysed. NR, not reported.

Type of isolation	Enzymatic solution used	Differentiation ability	Tissue size	Incubation Time(37 °C)	Cell Yield	PD _{time}	Refs
Enzymatic	Collagenase I, IV and Hyaluronidase	Neurons and glia cells	1.5 cm	45 min	$(4.7 \pm 0.2) \times 10^5$	24.47±0.3 h; 26.25±0.5 h	[19]
Enzymatic	Collagenase I, IV and Hyaluronidase	Neurons	2.0 cm	45 min	NR	NR	[53]
2-Enzymatic (D) and explants(TE)	Collagenase II; IV and Trypsin	Osteocytes and adipocytes	1 mm ³	16.5-20.5 h	$(6.3 \pm 1.7) \times 10^5$ (TE) $(12.1 \pm 2.0) \times 10^5$ (D)	39.0±7.8h (TE); 41.3±7.5h (D)	[39]
3-Enzymatic and explants	Collagenase, hyaluronidase, and trypsin	Osteocytes and adipocytes	3-5 cm (E) 2 mm (TE)	2 to 3 h	1×10^5 to 1×10^6 0.5×10^4 to 1×10^5	NR	[40]
Enzymatic	Collagenase II, Trypsin	Osteocytes, adipocytes and neurons	1-2 mm ³	30 min	1.0×10^5	≈20 h	[54]
Enzymatic and explants	Collagenase I, Hyaluronidase, Trypsin	NR	2-3 mm	1.5 h	NR	NR	[41]
Enzymatic and explants	Collagenase I, Hyaluronidase and Trypsin	NR	1 cm ³ (E) 3-5 mm (TE)	30 min	NR	NR	[42]

Enzymatic	Collagenase, Trypsin	Chondrocytes, adipocytes and cardio myocytes	NR	16.5 h	NR	NR	[50]
Explants	NA	NR	0.5-1 mm ²	NA	NR	NR	[43]
Enzymatic	Collagenase I, Hyaluronidase	NR	3-5 cm	1.0 h	NR	NR	[55]
Enzymatic	Collagenase I, Hyaluronidase and Trypsin	Osteocytes and adipocytes	All cord	3.5 h	$6.5 \times 10^5 \cdot$ $7.4 \times 10^5 (D)^{**}$	NR	[56]
Explants	NA	NR	1-2 mm ³	NA	NR	NR	[38]
Explants	NA	Osteocytes and adipocytes	1,5 cm	NA	NR	NR	[44]
Enzymatic	Collagenase	Chondrocytes, adipocytes, osteocytes and neurons	NR	4.0 h	$2.6 \times 10^{4+}$	85.0 ± 7.2 h	[57]
Enzymatic	Collagenase	Osteocytes, adipocytes and chondrocytes	4-5 cm	18-24 h	NR	NR	[27]
Enzymatic	Collagenase I, Hyaluronidase and Trypsin	Osteocytes, adipocytes and endothelial cells	Small pieces	1.5 h	NR	NR	[58]
Enzymatic	Collagenase I	Cardiomyocytes	1 mm ³	3.0 h	NR	NR	[59]
Enzymatic	Collagenase I, Hyaluronidase and Trypsin	NR	2-4 cm	45-60 min	NR	NR	[60]
Enzymatic and Explants	Collagenase, Dispase	Osteogenic, chondrogenic	Small sections	1.5 h	$(1.75) \times 10^5 (TE)^{**}$ $(5.3) \times 10^5 (D)^{**}$	24 ± 1.1 h	[33]
Enzymatic	Collagenase I, Hyaluronidase and Trypsin	Chondrogenic	3-5 cm	1.5 h	NR	NR	[61]
Explants	NA	NR	0.5 cm ³	NA	NR	19.7 h	[20]
Enzymatic	Collagenase I, Hyaluronidase	NA	Cord with vessels	3-5 h	NA	NA	[62]
Enzymatic	Collagenase	Osteocytes, adipocytes	2-3 cm	≈ 16 h	NR	35 ± 22.5 h	[63]
Explants	NA	Osteocytes, adipocytes and chondrocytes	5-10 cm	NR	NR	NR	[37]
Explants	NA	Osteocytes, adipocytes and chondrocytes	0.5 cm ³	NA	NR	24.5 ± 1.2 h	[45]
Enzymatic	Collagenase I	Osteocytes	4-5 cm	18-24h	NR	≈ 20 h	[64]
Enzymatic	Collagenase II	Osteocytes, adipocytes and chondrocytes	NR	4 h	$1.9 \times 10^5 (TE)^{***}$	NR	[65]
Enzymatic	Collagenase, Hyaluronidase	Adipocytes, osteocytes	10 cm	19-21h	NR	NR	[66]
Explants	NA	Osteocytes, adipocytes, chondrocytes and hepatocytes	1.5-2.5 mm	NA	NR	NR	[46]
Enzymatic	Collagenase I, trypsin, dispase II	Osteocytes, adipocytes, chondrocytes and neurons	All cord	1.3 h	NR	NR	[52]
Enzymatic	Collagenase I, trypsin	Neurons	0.5 cm ³	18.5 h	NR	NR	[67]
Enzymatic and explants	Collagenase	NR	2-3 mm	30 min	$(4.89 \pm 3.2) \times 10^5 (TE)$ " $(1.75 \pm 2.2) \times 10^5 (D)$ "	NR	[51]

Enzymatic and explants	Collagenase I	Osteocytes, adipocytes and chondrocytes	1-2 mm ³	18 h	1.8×10^5 (TE)** 2.3×10^5 (D)**	NR	[47]
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*cell per cm of cord; **cell per gram of cord; *** in cord slices with 200 to 400 mg

Table 4: Qualitative comparison between explants and digestion method regarding technical (time consuming, technical difficulty, quality control and integration of processes), regulatory (degree of manipulation) and economic aspects (process cost) based on Dong-Ruet *al*⁷⁵.

	Explants method		Digestion method	
	Processing	Culture	Processing	Culture
Time consuming	Lower	Higher	Higher	Lower
Technical difficulty	Lower	Higher	Higher	Lower
Degree of manipulation	Lower		Higher	
Process cost	Lower		Higher	
Quality control	Harder		Easier	
Integration of processes	Harder		Easier	

Table 5: Summary of several strategies used in dynamic expansion of MSC. NA, not available, NR not reported. Not available parameters are related with the bioreactor's own nature. Operational parameters of each bioreactor can be further explored in the corresponding reference.

Type of Reactor	Culture Method	Cellular outcome	References
Spinner flask	Spheroids	NR	[102]
Spinner flask	Microcarriers	1.7×10^6 cell/ml	[103]
Rotating wall vessel	Spheroids	NR	[102]
Rotating wall vessel	Suspension	8.9 ± 0.4 (FI)	[113]
Parallel plates bioreactor	Fibrous matrices	4.22×10^7 cell/ml	[114]
Stirred bioreactor	Microcarriers	10.4 ± 0.4 (FI)	[104]
Hollow fiber-like	NA	9.4 to 20 (FI)	[115]
Rotating bed bioreactor	NA	NR	[116]

Table 6: Diseases covered by clinical trials using MSC from UC. Data from *clinicaltrials.gov* using "mesenchymal stem cells" and "umbilical cord" as search criteria. Data searched during March, 2014

Group of Conditions	Clinical Applications
Auto-immune/Immune rejection	GvHD, rheumatoid arthritis, systemic lupus erythematosus, lupus nephritis, graft failure, autoimmune hepatitis
Blood Related	Aplastic anemia, myelodysplastic syndromes, co-infusion with HSC, cord blood expansion
Cardiac	Dilated cardiomyopathy, cardiopathy, ischemic cardiomyopathy
Diabetes-related	Diabetes type I, diabetes type II, diabetic foot
Gastrointestinal	Ulcerative colitis
Genetic	Duchenne muscular dystrophy, epidermis bullosa
Liver-related	Liver cirrhosis, liver failure, HBV-related liver cirrhosis, decompensated liver cirrhosis, liver transplant tolerance, primary biliary cirrhosis
Lung-related	Bronchopulmonary dysplasia
Neurological	Hereditary ataxia, multiple sclerosis and neuromyelitis optica, hypoxic ischemic encephalopathy, amyotrophic lateral sclerosis, Alzheimer's, autism, cerebral paralysis
Others	Ankylosing spondylitis, premature ovarian failure, chronic renal failure, osteoarthritis, articular cartilage defects, HIV reconstitution, tissue engineering applications
Spinal cord injury	Spinal cord injury
Wound healing	Acute burn

MSC Sources used in Clinical Trials

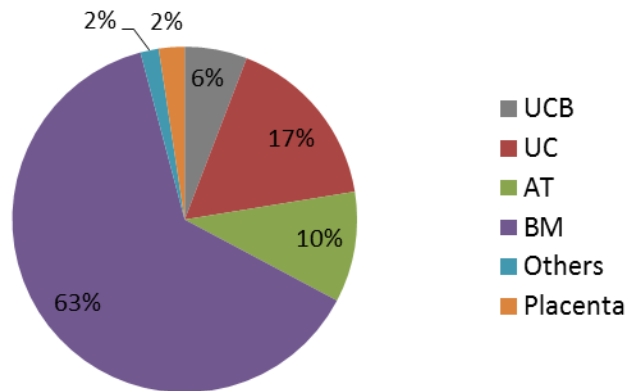


Fig. 3: Quantitative evaluation of MSC sources used in clinical trials. Search criteria used in *clinicaltrials.gov* were “umbilical cord blood”, “umbilical cord”, “adipose tissue”, “bone marrow”, “placenta” and “mesenchymal stem cells”. The class denominated “others” includes “endometrium”, “synovium” and “dental pulp” mesenchymal stem cells. Data searched during March, 2014.

Groups of Conditions

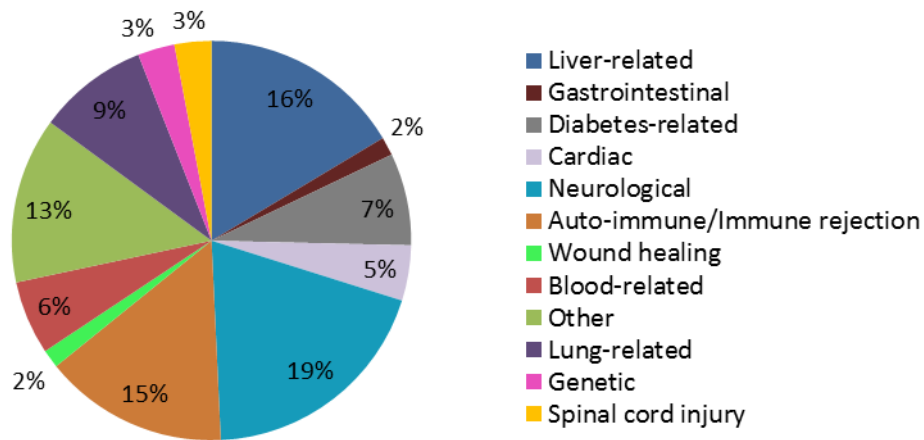


Fig. 4: Quantitative overview of the conditions covered by MSC clinical trials using UC. Data from *clinicaltrials.gov* using as search criteria “umbilical cord” and “mesenchymal stem cells”. Data searched during March,2014.

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